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(71) Applicant: INTERVAL RESEARCH CORPORATION [US/US]; Building C, 1801 Page Mill Road, Palo Alto, CA 94304 (US).

(72) Inventors: COVELL, Michele, Mae; 12121 Page Mill Road, Los Altos Hills, CA 94022 (US). WITHGOTT, M., Margaret; 12348 Melody Lane, Los Altos Hills, CA 94022 (US).

(74) Agents: ARTZ, John, A. et al.; Brooks & Kushman, 22nd floor, 1000 Town Center, Southfield, MI 48075 (US).

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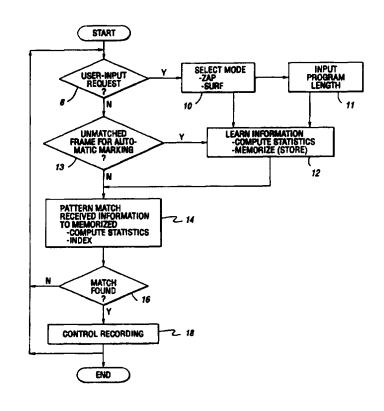
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(54) Title: SYSTEM AND METHOD FOR SELECTIVE RECORDING OF INFORMATION

### (57) Abstract

A system and method for selective recording of information uses sequential pattern matching of statistical vectors (14) which characterize incoming time-based information to identify previously marked information within an incoming information stream. The system and method generate a signal to control a recording device (18) to either elide the previously marked information from the recording, or to begin recording once the previously marked information is identified, depending upon the current mode of operation. The system and method may be utilized to omit recording of unwanted information, such as commercials in a television broadcast, or to automatically locate desired information on any of a number of available information channels without a priori knowledge of the occurrence time or channel of the information.



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# SYSTEM AND METHOD FOR SELECTIVE RECORDING OF INFORMATION

### Technical Field

The present invention relates to a system and method for selective recording of various types of information.

### Background Art

The explosion of information now available relating to a wide variety of topics ranging from business to entertainment has driven the development of technology capable of providing this information to various selected groups and individuals. Once the information is conveyed from a source to a destination, the information is often categorized and stored either by individuals or by service organizations for subsequent retrieval of selected information.

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Regardless of the particular form of received information, or the character of the storage media, it is often desirable to identify information of interest and extract that information from the incoming data stream for subsequent storage. This procedure optimizes the use of the storage media while also increasing the efficiency of the user in assimilating the information. Thus, the user interested in ascertaining knowledge relative to a particular area is exposed to the most relevant information from a wide variety of sources without being inundated with extraneous facts, figures, and advertisements. Similarly, a user may select a particular audio or video program for recording from continuously broadcast information so that valuable time wasted viewing or listening to not unwanted information.

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-2-

Many people no longer "tune-in" to a single broadcast from beginning to end. Instead, people often interleave their viewing or listening with "channel surfing" and "ad zapping" or "filtering" of information. Filtering is commonly called "ad zapping" but is used herein to refer to elimination or avoidance of advertisements or other repetitive information, such as repeated news footage or the like. Surfing, as used herein, refers to searching over time and/or available information channels for "interesting" information.

A number of prior art systems have recognized the problem of eliminating extraneous information prior to recording for subsequent retrieval and review. Many of these systems are limited to use with video information (often in combination with audio information), such as a television broadcast, and are not easily adaptable to other forms of information.

Many of the systems designed for use with audio/video (AV) information rely on prior marking or coding of the information for subsequent identification by a recording device. For example, a television broadcast may contain information relative to the program characteristics and content such as the starting and ending times, the type or content of the program, a program guide summary, a classification, a rating, or the like. This additional information may be included signal is originally broadcast, when the typically added by an annotation service. The signal is received and decoded and may be used to control any of a number of devices. For example, closed captioning information may be decoded to provide a textual transcript of the audio information, or the decoding receiver may limit what type of programs are viewed.

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The signal may also be utilized to automatically record particular programs on preselected channels via control of a video cassette recorder (VCR) and VCR recording tape cassette. Annotation services have been relied upon to facilitate prior art systems which provide surfing functions.

Reliance upon an annotation service requires the viewer to subscribe to the service and forces the viewer to explicitly select a menu item which ultimately corresponds to an approximate time/channel slot. This is a continuing expense for the viewer and may not support all of the channels which the viewer receives. In addition, the interesting material, such as a sports broadcast during the nightly news, may not be considered a "programming unit" by the annotation service so it would not be given an identifier which could be used by such systems. Furthermore, the interesting information may be broadcast on other channels or time slots and would not be identified.

Other prior art systems and methods which are designed primarily to eliminate recording of commercials (zapping) during a program broadcast rely on a change in amplitude of the video and/or audio signal components to control the recording of the information. The recording device monitors the incoming signal while recording and detects commercials by the characteristic video fade and/or audio fade between a program segment and a When a commercial is detected, recording is paused so that the unwanted information is Some prior art systems pause for a not recorded. predetermined period of time (i.e. 15 or 30 seconds) after detecting a fade (or eliminate material between standard advertisement fades separated by a two

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interval) to avoid recording the advertisement. systems rely on non-intrinsic characteristics of the broadcast signal which may lead to incorrect blanks in the recording of the desired program material. example, an artistic fade in the middle of a program broadcast may be mistaken for a fade prior to an advertisement resulting in an undesirable 30 second blank in the recorded material. In addition, these approaches are limited to the elimination of advertisements and would be difficult to adapt elimination of other "uninteresting" information from the incoming information stream, such as repetitive news footage. Furthermore, as such systems become more prevalent, broadcasters may reduce or eliminate the fades altogether to defeat operation of these systems so that advertisements reach their intended audience.

Another approach found in prior art systems designed to provide automatic recording of a particular television program of interest, requires the user to enter an index code into a VCR which is decoded to determine the broadcast channel, program starting time, and program duration. Since these systems do not monitor the actual broadcast signal being received, once begins, recording it continues for the specified regardless of the particular content of the These systems are subject to recording errors resulting from minor modifications to the programming schedule of the television stations. Such minor schedule changes often occur to accommodate important news broadcasts or the like.

One alternative approach used to eliminate advertisements includes adding control information to the recording so that the advertisements are skipped

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when the recording is replayed. This avoids lost information due to an erroneous indication of an advertisement but requires the user to wait while the recording is fast-forwarded through the advertisement. Since this approach also relies on audio/video fades, it could easily be defeated by broadcasters when the economic incentive becomes great enough. Another approach offered by at least one television manufacturer provides a "real-time" zapping function which allows the viewer to scan other channels for 30 seconds before automatically returning to the original channel, so as to avoid a standard length advertisement.

Prior art AV editing systems have utilized the highly constrained structure of various news programs to segment a broadcast based on recognizing predetermined logos and faces of newscasters which are broadcast repeatedly. After receiving and recording a newscast or other structured program, these systems attempt to identify the various segments by separating the individual frames into regions and searching for the previously identified logos or faces. The segments are then classified and archived for use in future programs.

### Disclosure of Invention

It is thus an object of the present invention to provide a system and method for selectively recording information based on intrinsic characteristics of the received information signal.

It is a further object of the present invention to provide a system and method which avoids recording information which a user has previously identified as being uninteresting.

-6-

Another object of the present invention is to provide a system and method for automatically locating and recording information of interest broadcast on any one of a number of available broadcast channels.

Yet another object of the present invention is to provide a system and method which automatically avoids recording repetitive information.

Still another object of the present invention is to provide a system and method which utilize sequential pattern matching to identify previously marked information and to control a recording device based on the outcome of the pattern matching.

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A still further object of the present invention is to provide a data structure particularly suited for use in a system and method for selective recording of information which facilitates efficient, unique, and reliable pattern matching of information.

Another object of the present invention is to provide a system and method for selective recording of information which utilizes sequential pattern matching of information based on a summary statistical characterization of the information.

In carrying out the above objects and other objects and features of the present invention a system is provided which includes a persistent memory for memorized information segments (marked by user or by repetition) and control logic for computing and matching statistical vectors based on the received information. The control logic implements sequential pattern matching using the statistical vectors to identify memorized

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information within the incoming information stream. The control logic is linked to a recording device to selectively record information based on the result of the pattern matching.

A data structure is also provided for use with the present invention and with other similar systems designed to uniquely and reliably identify information of interest (or of no interest) for recording (or omission from a recording) using sequential pattern The data structure is organized around matching. statistical vectors, each characterizing an information "frame." An information frame is a short cohesive For example, for video, this could be amount of data. a single image field. The data structure includes an indexable table for each element of the statistical Each table provides a list of the information "identifiers" associated with a given value or range of values of that element of the statistical Each information frame identifier includes a statistical vector representing an information frame, a pointer to the previously stored frame, and a pointer to subsequently stored frame. The sequence of the information frames which are joined by previous/subsequent frame pointers will be referred to as an information segment. Depending on the mode of operation, the data structure may also include the time length to record following the memorized information segment or the last date/occurrence count of the memorized information segment.

A method is also provided for use with the system disclosed and other similar systems. The method includes controlling a recording device based on sequential pattern matching of information. The method

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includes marking information of interest, computing a sequence of statistical vectors representing the marked information, and storing the statistical vectors and sequence information to allow future pattern matching. The method also includes identifying memorized information segments within an incoming information stream utilizing sequential pattern matching against the memorized sequence of statistical vectors. controlling a recording device based on the result of this pattern matching.

There are a number of advantages accruing to the present invention. For example, the system and method of the present invention use summary statistics, which capture intrinsic signal information, to detect repetitive material or information of interest, rather than using unintentional signal content, such as audio and/or video fades or annotations. As a result, the present invention does not require an annotation service to provide broadly distributed annotations of the broadcast information. Nor does it relv unintentional, and avoidable, artifacts of composited media.

Another advantage of the present invention over those systems and methods found in the prior art is that the statistical characterization of the information utilized by the present invention will reduce or eliminate the possibility of false detections resulting in incorrect blanking in the recording of desired information. Since the present invention does not rely on amplitude changes of the received information, its function will not be impaired by incorrect blanking caused by unanticipated changes in the received signal during the course of a program broadcast.

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Also unlike prior art systems and methods, the present invention is capable of recording information of interest from any of a large number of received channels without knowing a priori on which channel or at what time the information of interest will be broadcast. prior art systems require the user to enter broadcast time and channel, or a code representing that information, which is translated by the recording In contrast, the present invention does not require the user to locate such broadcast information, but only to provide a representative sample of the stable (repeated from installment to installment) introductory information and the length of time to record once the introductory information is located.

Another advantage of the present invention is its applicability to a variety of media sources. The present invention is not limited to audio and/or video information, or broadcast information in general, but may be utilized for sequential pattern matching of virtually any time-based information signal. For example, the present invention may be used in sequential pattern matching of seismographic information, pressure information, or object profile information (such as used in radar and the like) to name a few.

The above objects and advantages in addition to other unenumerated objects, features, and advantages of the present invention will be readily appreciated by one of ordinary skill in the art from the following detailed description of the best mode for carrying out the invention when taken in connection with the accompanying drawings.

-10-

# Brief Description of the Drawings

FIGURE 1 is a flow chart illustrating the basic operation of a system and method according to the present invention;

FIGURES 2a and 2b depict data structures for use with a selective information recording system and method according to the present invention;

FIGURES 3a and 3b are flow charts illustrating information selection for user-marked surfing and zapping operation modes according to the present invention;

FIGURE 4 is a flow chart illustrating operation of a user-marked zapping mode according to the present invention;

FIGURE 5 is a flow chart illustrating operation of a user-marked surfing mode according to the present invention;

FIGURE 6 is a flow chart illustrating operation of an automatically-marked zapping mode according to the present invention;

FIGURE 7 is a flow chart illustrating information selection for assisted-marking surfing and zapping modes according to the present invention;

FIGURE 8 is a flow chart illustrating operation of an assisted-marking zapping mode according to the present invention;

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FIGURE 9 is a flow chart illustrating operation of an assisted-marking surfing mode according to the present invention; and

FIGURE 10 is a block diagram representing a system for selective recording of information according to the present invention.

### Best Mode(s) For Carrying Out The Invention

It should be recognized that the flowcharts presented in Figures 1 through 9 in describing the present invention depict sequential processing of the method steps although any of a number of processing strategies could be utilized without departing from the spirit or scope of the present invention. For example, if the control logic is implemented in hardware, many of the method steps may be performed simultaneously or nearly simultaneously. Similarly, an interrupt driven processing strategy could also be utilized to achieve the objects and advantages of the present invention. One of ordinary skill in the art will also recognize that the concepts of the present invention may obviously be extended to a corresponding parallel implementation without departing from the spirit or the scope of the invention. Similarly, combined present a sequential/parallel implementation utilizing hardware and/or software to accomplish one or more of the objects and advantages of the present invention is within the contemplation of the present invention.

It should also be recognized that, while the flowcharts presented in Figures 1 through 9 depict each of the various surfing and zapping modes operating separately and in isolation, the combination of any or

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-12-

all of these methods could be used without departing from the spirit or scope of the present invention. For example, assisted-marking zapping and assisted-marking surfing may be combined within a single system or method, by simply marking the individual information segments as "for surfing" or "for zapping".

Referring now to Figure 1, a flow chart illustrating operation of a system and method for selective recording of information according to the present invention is shown. A user-input request is detected at block 8. A current operating mode is then selected by the user as represented by block 10.

The present invention includes two operating modes which share the same computational The surfing function is used engine. to previously marked and memorized information segments which activate a recording device to record for a designated period following the sequence. information segments may be marked by user-marking or by assisted-marking. The zapping function is used to avoid recording previously encountered information segments. These information segments may be marked by the user, marked through assisted marking, or automatically determined by the system. The user-marking modes rely on user input to determine both the beginning and end of the information segments. The assisted-marking modes rely on a small amount of user input and assist the user in identifying the beginning and end of the information The automatic-marking mode (for zapping only) characterizes and stores all information received while continuously comparing incoming information with the previously memorized (stored) information to identify repetitive sequences. Repetitive information

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reinforced while all other information is eventually deleted from memory through a "selective forgetting" operation as described in detail below.

The choice between user-marking, assisted-marking and (for zapping only) automatic-marking may be a design decision where, in any single device, only one of the two classes is used for surfing and only one of the three classes is used for zapping. However, as is obvious from their descriptions, more than one class or marking could be supported for either zapping or surfing, if that extra flexibility is desired.

Returning now to Figure 1, the user inputs the program length at block 11 if the surfing mode is selected at block 10. Block 12 represents the learning information which (memorizing) of characterizing the information utilizing summary statistics and storing the information in an indexable The information which is learned may be marked by the user or automatically marked by the system depending upon the particular mode selected in step 10. Information marked by a user for the surfing mode should (repeated from installment include stable installment) identification information, such as opening or a title screen in the case of information. For zapping modes (user-marked, assistedmarking, and automatically-marked), marked information may include a particular program, advertisement, commercial which the user does not want recorded. course, the present invention is not limited to any particular type or content of information and is applicable to any time-based information signal.

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-14-

in the automatically-marked zapping mode, block 13 determines if the current incoming frame is an unmatched frame which should be memorized by block Sequential pattern matching of received information to memorized information is then performed at block 14 of Figure 1. This step is performed autonomously by the system without user intervention. Incoming information is characterized using summary statistics and then pattern matched to previously memorized information. Block 16 determines if a successful match has been found in the incoming information and directs subsequent control appropriately. If a match is not found, the system continues attempting to locate the information by repeatedly characterizing the received information and matching it to the memorized information until interrupted by the user.

When a successful match is indicated at block 16, a recording device is appropriately controlled as represented by block 18. Depending on the mode of operation which was selected at block 10 when the matched information segment was memorized, a successful match of the marked information serves a different purpose. In the zapping modes, the incoming information which matches the memorized information is not recorded. However, in the surfing modes, the matched incoming information initiates the recording process which then continues for a designated time period thereafter.

In modes of operation other than the automatically-marked zapping mode, unmatched information is quickly discarded or "forgotten" and is not memorized. In the automatically-marked zapping mode, however, all incoming information is memorized for comparison to future incoming information. Repetitive

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information (that which is successfully matched) is then automatically zapped and is not recorded. A successful match also reinforces the previously memorized information. Eventually, unmatched (and therefore unreinforced) information which was previously memorized will be discarded through a "selective forgetting" process as required by available memory space.

A graphical illustration of data structures for with particularly suited use the information recording system according to the present invention is shown in Figures 2a and 2b. The operations of learning (memorizing) time-based information and subsequent labeling (matching or indexing) information are facilitated by these data structures which employ statistical vectors to characterize the information. These vectors are utilized to uniquely and reliably identify a segment (or sequence of frames) of received information. Uniqueness is required so that distinct segments of media are not mistaken for one Reliability is required so that a single another. segment of media which is received twice will match The necessary uniqueness may be provided in itself. part by careful selection of the statistics utilized to characterize the information segment. The sequencing (order and adjacency) of memorized information also should provides uniqueness through time that reproduced when the same material is subsequently received. Thus, using a sequence of consecutive frames to determine a match improves the confidence of the match so as to reduce the possibility of an erroneous matching indication.

As indicated earlier, a frame of media as referred to herein means a short time interval of the

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-16-

media which remains unchanged by its surrounding For example, a standard frame in video is a material. 1/30th-second image along with the coincident 1/30th second of audio. A "frame" of sound is more difficult to define since there are no natural "synchronization" marks as are present with conventional video signals. A reasonable audio frame may be defined by a millisecond section with the assumption that beginning and end frames (which may be contaminated by other material) can simply be ignored. For digital information, a frame of information may be determined by the particular communication protocol transmission and reception. The operation of the present invention does not require any particular, well-defined frame length. However, the statistics characterize the information should be particularly robust to different framing offsets for audio-only sources.

As illustrated in Figure 2a, a first data structure, indicated generally by reference numeral 30, 20 includes an indexable table 32 for each element 34 of the statistical vector 36. In a preferred embodiment, indexable table 30 is implemented using a balanced binary search tree as depicted in Figure 2a. However. 25 one of ordinary skill in the art should appreciate that a number of alternative searching implementations could be utilized to effect the system and method of the present invention, such as arrays, heaps, hash tables, In the preferred embodiment illustrated and the like. 30 in Figure 2a, each balanced binary tree 32 includes a plurality of nodes 38 each being characterized by a memorized interval, such as interval 40. An interval which includes the end-point value is indicated by a bracket, "[", while an interval which does not include

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-17-

the end-point value is indicated by a parenthesis, ")". Thus, the interval [4 7) includes values greater than or equal to four (4) and less than seven (7), but not equal to seven (7). As is known in the computer-related arts, each binary tree may be balanced to increase searching efficiency when locating matching statistics of desired information. Thus, as each tree grows, the depth of the tree is balanced using the process of rotation to put the root of the tree at the center of the leaves so that no branch extends more than one leaf deeper than any other branch. A detailed explanation of this strategy is provided by Robert Sedgewick, Algorithms, pp. 187-201 (Addison-Wesley 1983).

The original intervals are formed when an 15 information frame is memorized by adding and subtracting an error margin to the computed statistical value for each statistical element 34 in statistical vector 36. The error margin, and thus the span of the original interval, depends upon the level of noise expected in the received signal (which may depend on the type of 20 signal, i.e. analog or digital). An appropriately selected error margin increases the reliability of the pattern matching process. An analog signal may require a wider interval for satisfactory operation than the interval necessary for the same quality of operation 25 when receiving a digital signal. If the error margin is too small for the quality or type of the received information signal, information which should match previously identified material will not be detected. the error margin is significantly larger than necessary, 30 the system will identify information segments which have similar statistical representations but which are not intended to be matched. This results in an inefficient matching process and may result in erroneous matches.

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The particular statistics utilized to characterize the received information should be chosen based upon the type of information and the type of signal received. In a preferred embodiment of the present invention, the received signal is a compressed audio and video signal which conforms to the Joint Photographic Experts Group (JPEG) compression strategy, which is well known in the art. The set of statistics utilized in this case may be as simple and readily available as the spatially-aliased, bandpass thumbnail sketch of the received information frame. For example, each statistical element 34 may be obtained from the low-frequency coefficients in two-space (not including the zero frequency or DC coefficient) of an eight by eight block (of pixels) discrete cosine transform (DCT) used in JPEG or MPEG (Motion Picture Experts Group) compression along with the compressed audio signal corresponding to the video frame period. As is known in the art, the DCT is only one of the many available fast unitary transforms which may be used. The block DCT transform is particularly suited to this application it compresses most of the entropy information into a small number of coefficients which may then be utilized as а unique summary characterization of the information segment. examples of other transforms which may be used include the wavelet, the block-Hadamard, and the block-Karhunen-Loeve transforms.

Alternatively, simpler statistics may be utilized depending on the information signal, such as row and column averages. Of course, more than one type of statistic could be used to determine one or more of the statistical elements 34 in statistical vector 36 so as to uniquely characterize the received information.

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The sensitivity of the selected statistic to noise in the received signal may also influence selection of the interval size. While the error intervals, such as interval 40, are preferably centered about the value of a corresponding statistical element 34, other offsets may be utilized without departing from the spirit or scope of the present invention. Similarly, the intervals may include only a single value.

With continuing reference to Figure 2a, as intervals 40 are stored, they are subdivided into multiple, non-overlapping intervals as each indexable table (binary tree in a preferred embodiment) grows in such a way that the final stored intervals associated with the outermost nodes are uniform as well as nonoverlapping. In a preferred embodiment, each node 38 of a binary tree 32 points to a list of one or more frame identifier names (or titles) 42 associated with the values contained within the value orinterval corresponding to that node.

As illustrated in Figure 2b, frame identifier, such as frame identifier 50, is another type of data structure utilized in the system and method of the present invention. A frame identifier includes a statistical vector having statistical element values particular frame corresponding to a of received information and pointers to the frame identifiers which correspond to the adjacent frames (precedent 52 and subsequent 54) in the originally received time sequence, if those frames have been memorized. If the frame is the first or last in a particular sequence, the pointers contain a zero or null indication for the previous 52 or subsequent 54 frame identifier, respectively. The frame identifiers also contain a value 56 representing the

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minimum number of sequential frames which must be matched to be considered a successful match, the time length of information 58 to follow a particular sequence of frames (and therefore the length of time to record interesting information), and the last occurrence 60 and frequency 62 of a frame matching that particular frame identifier.

Some fields of each frame identifier are not used in all the available operating modes. Thus, a system of diminished functionality could be produced using a data structure without those corresponding fields. For example, the program length field 58 is used only by the surfing functions to determine the length of time to record after a successful match has been indicated. The last occurrence 60 and frequency fields 62 are used only by the automatically-marked zapping mode of operation. Thus, an appropriate data structure is created including only the necessary fields as indicated by the current operating mode.

20 Referring now to Figure 3a, a flow chart illustrating information selection for user-marked surfing and zapping operation modes according to the present invention is shown. At step 80, the user initiates the process by marking the start and end of an 25 information segment which is to be memorized and later sought to begin a recording session or to be omitted from a recording. For example, the user may mark the beginning credits of a favorite program or the user may mark a disliked commercial advertisement. indicates the minimum length of a match against this 30 desired information at step 82 by selecting percentage of the marked information sequence which must be matched for the match to be considered successful.

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Thus, a match may be considered successful even if the very beginning of the sequence is not observed without allowing a single frame match to trigger either the zapping process or the surfing process. The beginning of the sequence may not be identified when, for example, a user initiates zapping or surfing part way through the broadcast of a memorized information segment or when a user-input session interferes with the autonomous part of the zapping and surfing modes.

As illustrated at step 84 of Figure 3a, if the surfing mode has been selected, the user must input the length at step 86. The program length program represents the period of time to operate an attached recording device to record the desired program after a successful match of the information segment has been indicated. At step 88, a statistical vector is computed for the current frame of information based on the selected set of statistics as discussed with reference to Figures 2a and 2b. At step 90, a frame identifier (illustrated in Figure 2b) is created and recorded within the memorized (stored) tables, as explained in greater detail with reference to Figure 3b. If the end of a marking sequence is indicated at step 92, the input process is complete and the system and method continue by autonomously searching for the marked information segment (and previously marked information segments) within the incoming information stream.

Otherwise, the next frame of information is obtained as indicated by step 94 and the process continues with step 88. Steps 88 through 94 are repeated until all the frames of the marked information segment are recorded both as a sequence of consecutive frame identifiers and as nodes in the indexable table.

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-22-

After the input process has been completed, control passes to the appropriate process for the current operational mode as indicated by step 96. The various operational modes are illustrated and described in detail with reference to Figures 4 through 9.

Figure 3b provides a detailed illustration of a process for creating a frame identifier. The process begins at step 90a by allocating space for the data The statistical vector characterizing the structure. associated received information frame is recorded within the data structure at step 90b. The minimum length for a successful match is stored at step 90c and the adjacent frame pointers (such as previous pointer 52 and subsequent pointer 54) are initialized at step 90d. This may include entering a null value for the first or last frame in a particular sequence as discussed with reference to Figure 2b. If surfing mode is active as indicated by step 90e, the recording time for the program of interest is stored within the identifier at step 90f. Otherwise, control is passed to step 90g without entering the program information.

Preferably, the user marking and memorizing processes illustrated in Figures 3a and 3b are completed on a system with sufficient resources so that the computational throughput is equal to or greater than the frame rate. The throughput and latency of the creation of the frame identifiers, the computation of the error intervals and the creation of the indexable table from these intervals do not have to occur at the information reception rate, as long as the frame statistics are buffered in sequence until these operations are completed.

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The system utilized to implement the user marking and memorizing process should have sufficient buffer the frame statistics until to processing, or memorizing is completed, i.e. until the appropriate intervals and sub-intervals are created and the statistics are properly located within the indexable table. A preferred system also includes sufficient to accommodate large а number of identifiers since a frame identifier must be retained for each frame in the marked sequence throughout the operational life of the system, or until they are deleted by the user. The memory should also preferably be sized to accommodate a search tree for each element the vector of frame statistics throughout operational life of the system.

Referring now to Figure 4, a flow chart illustrating the user-marked zapping function according to the present invention is shown. Once information has selected as uninteresting by the processes illustrated in Figures 3a and 3b, the user-marked function enters an independent One object of this process is to pattern operation. match the incoming information frames (which are being recorded by a recording device) against the memorized sequences. If a match of sufficient length is detected, the recording is affected appropriately. include reversing or rewinding to the point where the match was first detected. Alternatively, information may be added to the recording so that the marked information is skipped during subsequent playback a delay buffer, inserted between the information stream and the recording device could be emptied, with the recording paused until it refilled.

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A frame of information is obtained from the incoming information channel being recorded at step 100. A statistical vector is calculated at step 102 using the same statistical calculations which were utilized during the user marking and memorizing process of Figures 3a and 3b. Step 104 compares the current statistical vector with previously encountered statistical vectors. The tables of memorized statistics are examined to determine if the same or a similar set of statistical values were already encountered, preferably utilizing balanced binary search trees corresponding to each element of the statistical vector.

In a preferred embodiment, indexing into each binary tree is completed by finding all the leaves of the tree having an interval which contains the value computed for the current statistical element. For the first element and the first binary tree, the identification numbers which have been indexed in this manner are placed into a temporary cache. For each of remaining elements and binary trees, identification numbers in the temporary cache must be found among the indexed leaves, otherwise they are deleted from the cache. Step 106 determines when the temporary cache becomes empty, which indicates that the current statistical vector does not match a previously marked statistical vector and the indexing process terminates. Once the indexing process terminates, a data counter, which indicates the location of current frame within the incoming information stream, is incremented and the program control returns to step 100.

At step 108 of Figure 4, the starting location (i.e. frame number or position) for a memorized recording is cached. This will be used in rewinding or

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reversing the recording to delete the memorized information detected within the incoming information stream if a sufficient number of sequential frames are Step 110 then obtains the next frame of matched. 112 computes a corresponding information and step statistical vector for the subsequent frame in the same manner as step 102. Step 114 compares this statistical vector to the statistical vector of the subsequently indicated memorized frame identifier as corresponding subsequent pointer (54 of Figure 2b). Since the subsequent frame is indicated by a pointer, indexing into the memorized tables is not required.

indicated by step 116, if As memorized frame statistics match the statistics computed in step 112, then step 118 determines if the end of the memorized sequence has been reached as indicated by a null value in the subsequent frame field 54 of the frame If the end of sequence is not indicated, identifier. control continues by incrementing the data counter to select and process the next frame within the incoming information stream at step 110. Otherwise, if step 116 determines the current frame does not match the subsequent frame of the memorized sequence, or step 118 determines that the memorized sequence has control continues at step 120.

The length of the matched sequence (i.e. number of frames) is compared with the minimum match length of the selected memorized sequence at step 120 to determine if the match is long enough to indicate success. In the user-marked zapping mode, the determination as to whether the matched sequences were "long enough" depends on both the minimum match length indicated by the user in step 82, and on the constraints

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imposed by the computational latency. For example, if the latency of steps 102 and 104 is longer than a frame period and the latency in the loop of steps 110 through 118 is less than a frame period, the "long enough" constraint includes the need to make up for the excess latency of steps 102 and 104 and to thereby "catch up" to the current frame.

If the match is long enough as determined at step 120, step 122 controls the recording device to zap the marked information from the recording. This may be completed by returning to the starting location which was stored at step 108, such that the intervening information frames will be deleted or subsequently rerecorded and therefore lost, or by recording a control code to skip the marked information upon playback or by emptying a delay buffer, inserted between the incoming information stream and the recording device and pausing the recording until it refilled. If the result of step is negative, the recording device continues uninterrupted recording and the process is repeated with step 100 to search for a matching sequence.

The computational capabilities of a system implementing the user-marked zapping function should latency for computation of а the statistics and the comparisons which is less than a single frame period. The latency of indexing into the memorized tables can be greater than a single frame period as long as its computational throughput greater than the frame rate, and the latency delay is compensated for by the simple frame computation/comparison loop (i.e. steps 110 through 118) in less than the time length of the marked sequence. implementational system should also have sufficient

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-27-

memory to buffer the current frame statistics until such time as processing can be completed. The system should also have a cache for frame identifier numbers to provide for indexing across the separate memorized tables.

Figure 5 illustrates the user-marked surfing of the system and method for selective recording of information according to the present invention. The surfing function allows a user to find and record material which follows a segment which has been previously marked. After the information is marked, the surfing function continually scans the available channels to find the designated material. Once that material is located, subsequent information is recorded for a predetermined period of time as indicated by the user.

Step 140 of Figure 5 selects a current channel to search for the marked information. This step may be done in a variety of different ways including random selection, unbiased scheduling, or biased scheduling, among others. Random selection could utilize a random to select the number generator current channel. Unbiased scheduling would follow a predetermined channel order such as stepping through available information channels using channel numbers as an ordering function. Biased scheduling would modify unbiased scheduling by monitoring preferred channels more often than other Preferred channels could be selected by the channels. user or they could be selected automatically by the system based on past success in finding programs containing information of interest.

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-28-

With continuing reference to Figure 5, step 142 obtains the current frame of information from the incoming information stream. A statistical vector is computed at step 144 to characterize the incoming information frame and compare it to previously memorized frames at step 146. Steps 148 through 158 process the incoming information frames in a similar manner as previously described steps 106, 110, 112, 114, 116, and 118, respectively. Step 160 determines whether a match is "long enough" to indicate success based only on the minimum match length indicated by the user during the information identification process illustrated in Figures 3a and 3b.

Step 162 controls the recording device to 15 recording information and continue for the predetermined time period specified by the user during the information marking process. In one embodiment, the search for other memorized information is suspended for the period during the recording. In another embodiment, 20 if more than one sequence has been marked for recording preference is indicated among the sequences, the system continues to monitor available information channels while recording to locate information having a higher preference code. If such 25 information is located, the current recording can be terminated so the higher preference material may be recorded.

The computational and memory requirements for a preferred system implementing the user-marked surfing function are directly proportional to the computational and memory requirements for the user-marked zapping function. The proportionality constant varies between unity and the number of available information channels,

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-29-

depending on the need to scan multiple channels in a single frame period. This requirement, in turn, depends upon the length of the marked information and the percentage match required to indicate a successful match.

Referring now to Figure 6, a flow chart illustrating an automatically-marked zapping function of a system and method for selective recording of information according to the present invention is shown. Automatically-marked zapping has the same goal as usermarked zapping: eliding repetitive material from a recording. Instead of requiring the user to mark the material, however, automatically-marked zapping keeps track of all the information which has been received in the recent past.

Step 170 of Figure 6 obtains the next frame of information for step 172 to compute an appropriate statistical vector as previously described. compares the current frame statistical vector with previously memorized statistical vectors as previously If the current statistical vector does not match any of the memorized vectors as determined by step 176, the control logic proceeds to step 178 which creates and memorizes a new frame identifier. For the automatically-marked zapping mode, the created frame identifier data structure includes а time stamp indicating the date and time at which the information was recorded and a frequency counter which monitors the number of times a frame matching that particular statistical vector has been detected. The frame identifier is then created as illustrated in Figure 3b by allocating space, initializing the pointers, and recording the statistical vector. However, no minimum

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match length is recorded in this frame identifier. Instead, a single, global value is used. Once the frame identifier structure has been created, it is stored in the memorized tables as previously described with reference to the user-marked zapping function.

Step 180 is performed only periodically to selectively forget information so as to reduce the size of the memorized tables. Otherwise, the size of the memorized tables would grow without bound the longer the automatically-marked zapping mode was active. To avoid difficulties associated with using all the available memory, and increasingly difficult indexing of information, the size of the memorized tables is thus periodically reduced.

15 The memorized tables are reduced in size by traversing the memorized tables, examining each frame identifier to determine the last time it was received and the frequency of recognition. The frame identifiers which are too old or too infrequently encountered are then "forgotten". The process of "forgetting" a frame 20 identifier includes removing all pointers to that identifier (in their predecessor and successor fames and in the memorization tables) and allowing the memory occupied by that frame identifier to be reallocated by 25 the system. The control logic then returns to step 170 and the process continues.

With continuing reference to Figure 6, if step 176 indicates a match with a previously memorized frame, step 182 caches a starting position or frame so the recording device may be reversed or rewound if a successful sequence match is indicated as previously described. Step 184 updates the occurrence and

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frequency counters in the appropriate frame identifier data structure which matched the current statistical vector.

With continuing reference to Figure 6, step 186 obtains the next frame of information while step 188 computes the statistical vector and step 190 compares the statistical vector to the next memorized frame in the sequence. Step 192 determines if the current frame matches the next memorized frame, as previously described with reference to steps 150 through 156 of Figure 5. Step 194 updates the occurrence and frequency information similar to step 184 if the next frame is matched as determined by step 192. Subsequent frames are analyzed until the end of sequence is indicated by step 196. Step 198 determines whether a matched sequence is of sufficient length to be considered successful as previously described with reference to user-marked zapping, noting that the minimum match length is now determined using the previously mentioned, global number.

Step 202 of Figure 6 then controls the recording device to automatically zap the repetitive material from the recording. Similar to the user-marked zapping mode, zapping may be performed by returning to the position stored at step 182, or by recording control codes which skip over the marked information upon playback or by emptying a delay buffer, inserted between the incoming information stream and the recording device and pausing the recording until it refilled.

30 The computational requirements of a preferred system implementing the automatically-marked zapping function are the same as those described for the user-

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-32-

marked zapping function with the additional requirement that the throughput of creating and memorizing a new frame identifier must be greater than the frame rate. computational requirements of step 180 to selectively forget frame identifiers will constrained as long as this step is only occasionally necessary. In that case, the reduction can be completed when there is no incoming information frames (i.e. the recording device is off or the automatically-marked zapping function is disabled). If necessary, reduction process can occur in the middle of a zapping session, by temporarily suspending the zapping function. During that time, repeated video would not be zapped from the recording and none of the incoming information frames would be memorized.

The memory requirements of a preferred system implementing the automatically-marked zapping function of the current invention are similar to those described to implement the user-marked zapping function. However, the memorizing process is continuous and newly memorized frames are preferably retained for at least the minimum expected repetition period before they are forgotten during a memorized table reduction. For example, a user who wishes to avoid recording advertisements during video recording may activate the automatically-marked zapping function during regularly recorded programs. the program material is about two hours per day and the advertisements that are shown during those programs are repeated daily, then the automatically-marked zapping function would have to have enough memory to retain the frame identifiers and the indexing tables to accommodate just over two hours of video information. This would allow the advertisements to be seen more than once

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-33**-**

before their statistical vectors and frame identifiers would be removed due to memory constraints.

Referring now to Figure 7, a marking and memorizing process for assisted-marking zapping and surfing modes of a system and method for selective recording of information according to the present invention is shown. This user-input portion is similar to the user-input portion of the user-marked zapping and illustrated and described surfing functions reference to Figures 3a and 3b. Assisted marking thus reduces the amount of effort that is required from the user to mark an information index without significantly increasing the amount of required system memory.

220 of Figure 7, frame step a of 15 obtained and step 222 information is computes statistical vector representative of the information A statistical vector is within the received frame. calculated for each incoming information frame instead of only the frames occurring between a user-specified 20 starting and ending point as illustrated in Figure 3a. step 224, the statistical vector is buffered, preferably in a circular buffer, to accommodate a predetermined response time of the user (equivalent to the "recall" length of the buffer). This provides a 25 sequence of a predetermined number of previous frame statistical vectors which is available at all times. This allows for some delay. A starting point estimated as being the recall length before the user An ending point is estimated as being the input match length after the user mark. The input match 30 length is input at step 230. The starting and ending points along with intervening frames are then memorized as explained in greater detail below. Ιt is not

-34-

necessary to compensate for the response time with any precision since chunking and sequence extension (explained below with reference to Figures 8 and 9) adjust the boundary points of the memorized segment.

Step 226 determines if a user input has been received indicating the desire to mark information. If no user input is received, a statistical vector is computed on the next frame of information and stored in the circular buffer by repeating steps 220 through 224.

Once the circular buffer is full, the statistical vector of the next following frame replaces the oldest (in time) received frame in the buffer, etc. Once user input is received, step 228 marks the position within the circular buffer of the indicated starting frame.

15 At step 230, the user inputs the minimum number of frames to indicate a successful match. information is used to allow a successful match even if the very beginning of the sequence is not observed and also to determine the minimum amount of information to be collected and memorized for later marking. 20 The latter use is necessary for this mode since the user does not indicate a starting and ending point, but provides only a single indication to mark the sequence. If surfing mode is indicated at step 232, the program 25 length is entered at step 234 and the control logic continues with step 236. Otherwise, step 234 is skipped and the process continues with step 236.

Step 236 moves the circular buffer pointer backward (as measured by time) through the circular buffer a length equal to the recall length. Thus, the oldest statistical vectors can be memorized before they are overwritten by vectors characterizing subsequently

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-35-

received information frames. This also allows information received before the user-marked starting frame (saved at step 228) to be inserted into the memorized tables by steps 238 and 240. Subsequent frames continue to have their statistical calculated and stored in the circular buffer while steps 238 memorizes the statistics until step 240 detects the end of the sequence. Unlike the user-marked input process illustrated in Figures 3a and 3b, the assisted-Figure 7 marking input process of automatically determines the endpoint of the sequence as being the minimum sequence length for a successful (determined at step 230) after the user-marked point (determined at step 228). After step 240 indicates the end of sequence has been memorized, control proceeds via step 242 to the appropriate process illustrated in Figure 8 or 9 depending upon the current operating mode.

Referring now to Figure 8, a flow chart 20 illustrating the autonomous portion of an assistedmarking zapping function for a system and method according to the present invention is shown. The assisted-marking zapping function and the assistedmarking surfing function (illustrated in Figure 9) are 25 similar to the user-marked zapping and functions, respectively. The difference is that the assisted-marking functions provide for automatically extending and/or trimming of the memorized sequences the incoming information stream until 30 memorized sequences consist of individual, cohesive units of information. A cohesive unit describes an information segment which is always observed as a unit.

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Extension of the memorized segments occurs when a terminal frame (the first or last frame) of memorized information is matched against a similar frame in the incoming information. The memorized segment is extended either forward or backward in time (if the last or first frame was matched, respectively) by memorizing additional sequential frames from the incoming information stream beginning with the frame adjacent to the matched terminal frame. Extension of the memorized segment is stopped when the chunking process (step 290) finds its segment boundaries.

Thus, steps 260 through 266 compute statistical vectors based on the incoming information and compare them to previously marked memorized frames as previously described with reference to the user-marked zapping function (Figure 4). information was not previously marked (i.e. the current frame does not match any of the memorized frames), step 268 buffers the statistics in a circular buffer. computation and circular buffer may be shared between steps 222-224 of Figure 7 and steps 262-268 of Figure 8, since the same information is being computed and buffered in each place. This buffering of statistics allows extension of the memorized sequences backward through time as explained below. However, any gaps within the circular buffer (indicating information frames on which step 262 was not completed) will force a "fill counter" for that buffer to zero to indicate that there is a break in the data within that buffer. Furthermore, gaps of this type force an "extension counter" to zero to indicate that sequence extensions should not be continued (since the extension requires contiguous computations from step 262). The purpose of the extension counter will be discussed below.

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-37-

Step 270 is illustrated with a dashed box since it is only performed when a recent successful match (one that is long enough) ended at the end of a This is indicated by a positive memorized segment. value for the extension counter which may be set by step 286 which is explained below. Thus, if the extension counter is zero, step 270 simply returns control to step When the extension counter is positive, step 270 extends the memorized sequence forward in creating and memorizing frame identifiers using the current statistics determined at step 262. The frame is then added to the end of the memorized sequence that was last matched using an extension pointer (from step 286 explained below) to retrieve the last frame in that The extension pointer is then updated to point to the frame identifier that was just created and is now at the end of the matched memorized sequence. The extension counter is then decremented.

With continuing reference to Figure 8, step 272 caches the starting location within the incoming information stream of the first marked frame for use, if necessary, by step 292. As indicated by a dashed box, step 274 is performed only when the start of a new match is found at the start of a memorized segment. is aborted before modifying any memorized information if a match does not occur at the start of the memorized data (i.e. if the first matched frame of the incoming information stream corresponds to a memorized frame which does not have a null value for the previous frame Furthermore, it is also aborted if pointer). matched starting frame is a "boundary frame". meaning of the term "boundary frame" is described under step 290, below. Finally, the effects of step 274 are

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-38-

reversed by step 294 if the match is determined to be too short by step 288.

In contrast to step 270, step 274 attempts to extend the memorized sequence backward in time. attempts this extension only if the matching memorized frame occurs at the start of a memorized sequence, the matching frame is not a boundary frame, and the circular buffer of statistics includes valid time-contiguous statistics from the incoming information When these conditions are met, the memorized sequence is extended backward in time by creating and memorizing a sequence of frame identifiers from the statistics buffered by step 268 and attaching them to the start of the previously memorized sequence. point of attachment of the added sequence is cached so that the effects may be reversed by step 294 necessary. Without this ability, incorrect shortsequence matches would add unrelated information onto the front of the memorized sequence. Steps 276 through 284 proceed in a manner similar to that illustrated and described with reference to steps 110 through 118 of Figure 4.

If the end of a memorized sequence is detected at step 284, then step 286 determines whether it is appropriate to extend the memorized video forward in time (as explained with reference to step 270). If the end of the matched memorized sequence is not a boundary frame (as defined below under step 290), the memorized information should be extended forward in time. In this case, step 286 sets the extension pointer to the currently matched, memorized frame identifier, and the extension counter to the maximum extension length. This

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-39-

allows step 270 to extend the trailer if the other conditions for extension are met as explained above.

If the matched sequence is long enough as determined in step 288, step 290 updates the "chunking" of the memorized information. This step updates the sequencing information between the memorized frames that were matched and the memorized frames which were not matched so that the sequences contained in the memorized tables only cover pieces (chunks) of information which always occur together. The starting point of the matched sequence will form a "segment boundary" provided there was incoming information (which failed to match) immediately preceding that starting frame. case, the pointers which cross this segment boundary are nulled which indicates that the corresponding frame identifiers on either side of the segment boundary are "boundary frames". Similarly, the ending point of the updated. matched sequence is Thus, if memorized information follows the matched information, pointers across this segment boundary are nulled and the frame identifiers on either side of the segment become boundary frames as well. Once the memorized sequence is divided into chunks (if necessary), only the chunk that contains the user-marked frame is retained. chunks are forgotten by removing all pointers to the frames in those chunks and making the memory space available to the system. In addition to assuring that the memorized tables contain only pieces of information which always occur together, this process also stops the growth outward at the boundary frames during automatic leader/trailer extension explained below.

Step 292 controls the recording device to zap the information from the recording as explained with

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reference to step 202 of Figure 6. If the matched sequence is not long enough as determined by step 288, step 294 is performed. Step 294 eliminates any frames added by step 274 since the matched sequence was too short for extension. This elimination involves forgetting the leader extension by removing all pointers to the frames in that extension and making the memory space available to the system.

Referring now to Figure 9, a flow chart 10 illustrating an assisted-marking surfing function of a system and method for selective recording of information according to the present invention is shown. This function is similar to the assisted-marking zapping function illustrated in Figure 8 and the user-marked 15 function illustrated in Figure surfing 5. information is marked by the process illustrated in Figure 7, a channel is selected at step 300 in an attempt to locate the marked information. This channel selection process is the same as discussed for step 140 20 of Figure 5.

Steps 302 through 310 continue buffering statistical vectors of incoming information frames until a frame matches a previously marked frame as determined by step 308. To avoid confounding of information segments, step 310 preferably utilizes a different buffer for each information channel. Of course, other techniques for associating an information frame with the information channel which it came from are possible. As with step 270 of Figure 8, step 312 of Figure 9 is performed only when all its conditions are satisfied which may occur only after step 326 has properly initialized the extension pointer and counter. Steps 314 through 330 function in a similar manner as that

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-41-

described with reference to steps 274 through 290 of Figure 8. Step 332 controls a recording device to begin recording the incoming information for the time specified by the user. Step 334 performs a similar function to that described in reference to step 294 of Figure 8 in that step 334 reverses the effects of step 314 if necessary.

Referring now to Figure 10, a block diagram illustrating a system for selective recording of information according to the present invention is shown. Preferably, control logic 340 is implemented by a computer programmed to effect system operation as illustrated in Figures 1 through 9. Of course, the system and method of the present invention may be effected with control logic implemented utilizing a number of combinations of general purpose and special purpose hardware and software, such as applicationcircuits (ASICs), specific integrated instruction set controllers (RISCs), programmable logic arrays (PLAs), discrete components, or the like.

(non-volatile) persistent memory receives and stores incoming information and is in communication with control logic 340. Persistent memory 342 may include a significant amount of homogeneous or heterogeneous memory such as DRAM, RAM, EEPROM or the The preferred size and preferred computational like. capabilities of persistent memory 342 and control logic 340, respectively, have been described with reference to their associated functions illustrated in Figures 3 through 9. Input device 344 may be an integrated user interface to allow entry of instructions and selection of user-marked information, or may be a separate device, such as a remote control device. Recording device 346

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-42-

is selected depending on the particular type of information desired to be recorded. In a preferred embodiment, recording device 346 is a VCR utilized to record video and/or audio information transmitted as a standard television broadcast or a compressed direct television broadcast. Recording device 346 may be an integral part of the system as shown, or may be a separate standard recording device which communicates with the control logic via a standard interface.

Thus, it is understood, that while the forms of the invention herein shown and described include the best mode contemplated for carrying out the invention, they are not intended to illustrate all possible forms thereof. It will also be understood that the words used are descriptive rather than limiting, and that various changes may be made without departing from the spirit and scope of the invention disclosed.

#### What Is Claimed Is:

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1. A system for controlling a recording device to effect selective recording of an incoming information stream, the system comprising:

a memory for storing at least a portion of the incoming information stream; and

control logic in communication with the memory for performing sequential pattern matching of the incoming information stream and generating a signal for the recording device to effect selective recording of the incoming information stream based on the sequential pattern matching.

- 2. The system of claim 1 wherein the control logic performs sequential pattern matching based on a statistical characterization of at least a portion of the incoming information stream.
- 3. The system of claim 2 wherein the control logic performs sequential pattern matching based on a block unitary transform of at least a portion of the incoming information stream.
  - 4. The system of claim 1 wherein the control logic is further operative to learn a sequential information pattern used to pattern match subsequent information sequences within the incoming information stream.
  - 5. The system of claim 4 wherein the control logic automatically learns sequential information patterns and selects those patterns to be retained based on a predetermined number of successful pattern matches within a predetermined time period.

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-44-

- 6. The system of claim 1 wherein the control logic is further operative to learn a sequential information pattern used to pattern match subsequent information sequences based on a statistical characterization of the sequential information pattern and the subsequent information sequences.
- 7. The system of claim 1 wherein the control logic comprises a programmed microprocessor.
- 8. The system of claim 1 wherein the incoming information stream represents video information.
  - 9. The system of claim 1 further comprising an input device in communication with the control logic for marking incoming information.
- The system of claim 1 wherein the control 15 logic performs sequential pattern matching by computing a statistical vector representative of a portion of the incoming information stream, matching that statistical vector to a similar stored statistical vector, computing a second statistical 20 representative of the next portion of the incoming information stream, and matching that second statistical vector to a similar second stored statistical vector, wherein the first stored statistical vector identifies the second stored statistical vector.
- 25 11. A method for controlling a recording device to effect selective recording of an incoming information stream, the method comprising:

storing at least a segment of an information stream;

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-45-

performing sequential pattern matching of the incoming information stream to the stored information segment; and

generating a signal based on the sequential pattern matching for the recording device to effect selective recording of the incoming information stream.

- 12. The method of claim 11 wherein the step of storing comprises representing the segment of the information stream using summary statistics.
- 13. The method of claim 11 wherein the step of storing comprises representing the portion of the incoming information stream using a block unitary transform.
- 14. The method of claim 11 wherein the information streams include a plurality of information frames and wherein the step of storing comprises:

computing a statistical vector to represent each of the plurality of information frames; and

storing the sequence of statistical vectors in an indexable table.

- 15. The method of claim 14 wherein the step of generating a signal comprises generating a control signal for recording by the recording device, the control signal being operative to accelerate playback of the recorded information stream.
- 16. The method of claim 14 wherein the step of generating a signal comprises generating a control signal which causes the recording device to begin recording the incoming information stream.

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-46-

17. The method of claim 11 wherein the incoming information stream includes a plurality of information frames, the method further comprising marking a portion of the incoming information stream containing at least one of the plurality of information frames, prior to the step of storing, for use by the step of storing.

- 18. The method of claim 17 wherein the step of storing comprises automatically extending the marked portion based on a matching portion of the incoming information stream.
  - 19. The method of claim 11 further comprising automatically marking a portion of the incoming information stream based on the step of performing sequential pattern matching.
  - A system for selective recording of time-based information frames, the received having a memory for storing a representation of the received time-based information frames within a data structure, the memory being in communication with control logic operative to determine the representation of the frames and to generate a signal for controlling a recording device based on sequential pattern matching of the representation of the received information frames and previously stored representations of time-based information frames, the data structure being characterized by:

a table indexable by both content and ordering of the representations of the received time-based information frames.

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#### AMENDED CLAIMS

[received by the International Bureau on 01 July 1996 (01.07.96); original claims 1-20 replaced by amended claims 1-43 (10 pages)]

1. A system for controlling a recording device to effect selective recording of an incoming information stream having a plurality of information frames, the system comprising:

a memory for storing at least a portion of the plurality of information frames; and

control logic in communication with the memory for performing sequential pattern matching by comparing at least two of the plurality of frames to a previously stored representation of a sequence of information frames and generating a signal for the recording device to effect selective recording of the incoming information stream based on the sequential pattern matching.

- 15 2. The system of claim 1 wherein the control logic performs sequential pattern matching based on a plurality of statistical description vectors each representing at least a portion of the incoming information stream.
- 3. The system of claim 2 wherein the control logic performs sequential pattern matching based on a block unitary transform of at least a portion of the incoming information stream.
- 4. The system of claim 1 wherein the control logic is further operative to learn a sequential information pattern used to pattern match subsequent information sequences within the incoming information stream wherein the sequential information pattern comprises a plurality of statistical description vectors each representing one of the plurality of information frames.

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- 5. The system of claim 1 wherein the control logic automatically learns sequential information patterns and selects at least some of the sequential information patterns to be retained based on a predetermined number of successful pattern matches within a predetermined time period.
- 6. The system of claim 1 wherein the control logic is further operative to learn a sequential information pattern used to pattern match subsequent information sequences based on a statistical characterization of the sequential information pattern and the subsequent information sequences.
- 7. The system of claim 1 wherein the control logic comprises a programmed microprocessor.
- 15 8. The system of claim 1 wherein the control information stream represents video information.
  - 9. The system of claim 1 further comprising an input device in communication with the control logic for marking incoming information.
- 10. The system of claim 1 wherein the control logic performs sequential pattern matching by computing a first statistical vector representative of a first one of the plurality of information frames, matching the first statistical vector to a similar stored statistical vector, computing a second statistical vector representative of the next one of the plurality of information frames, and matching the second statistical vector to a similar second stored statistical vector, wherein the

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first stored statistical vector identifies the second stored statistical vector.

11. A method for controlling a recording device to effect selective recording of an incoming information stream having a plurality of information frames, the method comprising:

storing the plurality of information frames; for each of the plurality of information frames, computing a corresponding statistical description vector;

performing sequential pattern matching of the incoming information stream to the stored information segment wherein sequential pattern matching includes comparing at least two of the plurality of information frames based on the corresponding statistical description vectors; and

generating a signal based on the sequential pattern matching for the recording device to effect selective recording of the incoming information stream.

- 20 12. The method of claim 11 wherein the step of storing comprises representing the segment of the information stream using summary statistics.
- of computing a corresponding statistical description vector comprises representing each of the plurality of information frames of the incoming information stream using a block unitary transform.
  - 14. The method of claim 11 wherein the step of storing comprises:

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storing the statistical description vectors in an indexable table.

- 15. The method of claim 14 wherein the step of generating a signal comprises generating a control signal for recording by the recording device, the control signal being operative to accelerate playback of the recorded information stream.
- 16. The method of claim 14 wherein the step of generating a signal comprises generating a control signal which causes the recording device to begin recording the incoming information stream.
  - 17. The method of claim 11 further comprising marking a portion of the incoming information stream containing at least one of the plurality of information frames, prior to the step of storing, for use by the step of storing.
- 18. The method of claim 17 wherein the step of storing comprises automatically extending the marked portion based on a matching portion of the incoming information stream.
  - 19. The method of claim 11 further comprising automatically marking a portion of the incoming information stream based on the step of performing sequential pattern matching.
- 20. A system for selective recording of a plurality of received sequential time-based information frames, the system having a memory for storing a representation of each of the received time-based information

frames within a data structure, the memory being in communication with control logic operative to determine the representation of each of the frames and to generate a signal for controlling a recording device based on sequential pattern matching of the representations of the received information frames and previously stored representations of a sequence of time-based information frames, the data structure comprising:

a table indexable by both content and ordering
of the representations of the received time-based
information frames.

- 21. The system of claim 2 wherein the plurality of statistical description vectors represents a sequence of the plurality of information frames.
- 15 22. The method of claim 11 wherein the plurality of statistical description vectors represents a sequence of the plurality of information frames.
- 23. The system of claim 1 wherein the control logic generates a control signal for the recording device to omit recording of data from the incoming information stream.
  - 24. The system of claim 23 wherein the incoming information stream contains an advertisement.
- 25. The system of claim 1 wherein the control logic generates a control signal for the recording device to record a segment of the incoming information stream.

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- 26. The system of claim 25 wherein the incoming information stream represents one element of a related series of elements.
- 27. The method of claim 11 wherein the step of generating a signal comprises generating a signal to stop recording of the incoming information stream.
  - 28. The method of claim 27 wherein the incoming information stream is a commercial advertisement.
- 29. A system for controlling a recording device to omit selected information within an incoming information stream having a plurality of information frames, the system comprising:
- a memory for storing the plurality of incoming information frames stream; and

control logic in communication with the memory for performing sequential pattern matching by comparing at least two of the plurality of frames to a previously stored sequence of information frames and generating a signal for the recording device to omit selected information from being recorded by the recording device.

- 30. The system of claim 29 wherein the control logic performs sequential pattern matching comprising generating a corresponding statistical description vector for each of the plurality of information frames.
- 31. The system of claim 30 wherein at least two of the plurality of statistical description vectors represents a sequence of information frames.

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- 32. The system of claim 30 wherein each statistical description vector includes a plurality of statistical elements and wherein the control logic terminates comparison of the at least two frames based on a difference between any corresponding ones of the plurality of statistical elements to improve efficiency of comparisons.
- 33. A method for recording a segment of data within an incoming information stream having a plurality of sequential information frames, the method comprising:

computing a plurality of statistical description vectors each corresponding to one of the plurality of information frames;

storing the plurality of statistical description vectors including an indication of order among the sequential information frames in a table indexable by content and order;

comparing at least two of the plurality of information frames based on the corresponding statistical description vectors; and

generating a signal to record the segment of data based on the step of comparing.

- 34. The method of claim 33 wherein the at least two information frames include a first and a second information frame and wherein the step of comparing comprises comparing the second of the at least two frames based on information generated by comparing the first of the at least two frames.
- 35. The method of claim 34 wherein the at least two information frames includes N information frames, N representing a positive integer greater than

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two, and wherein the step of comparing comprises comparing the nth frame based on information generated by comparing each of the previous frames one through n-1, n indexing a current frame and having a value between unity and N, inclusive.

36. A method for recording a segment of data within an incoming information stream broadcast on at least one of a plurality of information channels, the information stream having a plurality of sequential information frames, the method comprising:

selecting one of the plurality of information channels;

computing a plurality of statistical description vectors each corresponding to one of the plurality of information frames;

storing the plurality of statistical description vectors;

comparing at least two of the plurality of information frames to a corresponding at least two previously stored sequential information frames based on the corresponding statistical description vectors;

repeating the steps of selecting, computing, storing vectors, and comparing until a predetermined number of sequential information frames match a corresponding number of the previously stored sequential information frames; and

generating a signal to record the segment of data.

37. The method of claim 36 wherein the segment of data is an installment of a multi-segment program.

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- 38. The method of claim 36 wherein the segment of data is one of a series of related programs.
- 39. The method of claim 36 wherein the at least two of the plurality of information frames includes a first and a second frame and wherein the step of comparing comprises searching only a subset of the previously stored sequential information frames based on a successful match of the first information frame.
- 40. The method of claim 39 wherein the step of comparing comprises searching a subset of the previously stored statistical description vectors wherein the subset is reduced in number by each previous successful match.
- 41. The method of claim 36 wherein the step 15 of selecting comprises randomly selecting one of the plurality of information channels.
  - 42. The method of claim 36 wherein the step of selecting comprises selecting based on at least one previously successful match of at least two information frames.
    - 43. A system for controlling a recording device to effect selective recording of an incoming information stream, the system comprising:
- a memory for storing at least a portion of the incoming information stream; and

control logic in communication with the memory for performing sequential pattern matching by computing a first statistical vector representative of a first portion of the incoming information stream, matching the

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first statistical vector to a similar stored statistical vector, computing a second statistical vector representative of the next portion of the incoming information stream, matching the second statistical vector to a similar second stored statistical vector, the first stored statistical vector identifying the second stored statistical vector, and generating a signal for the recording device to effect selective recording of the incoming information stream based on the sequential pattern matching.

AMENDED SHEET (ARTICLE 19)

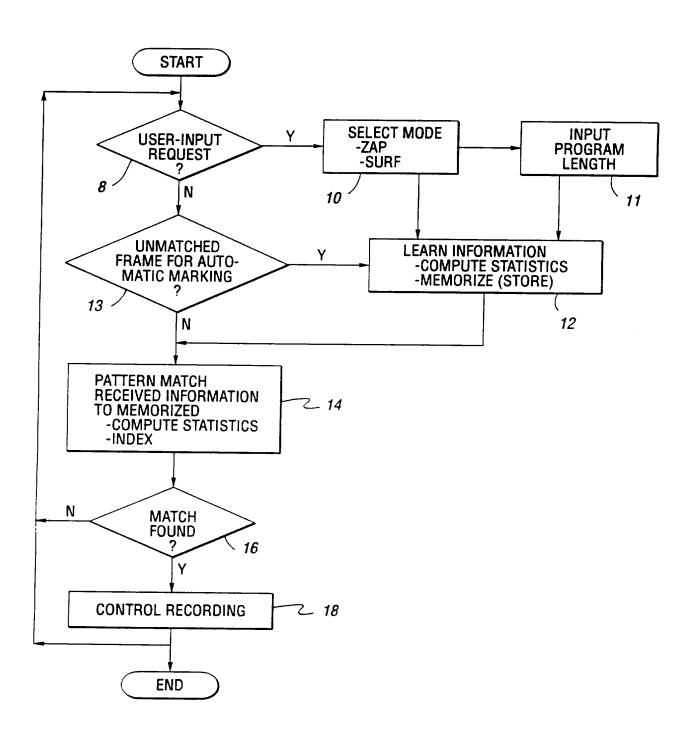
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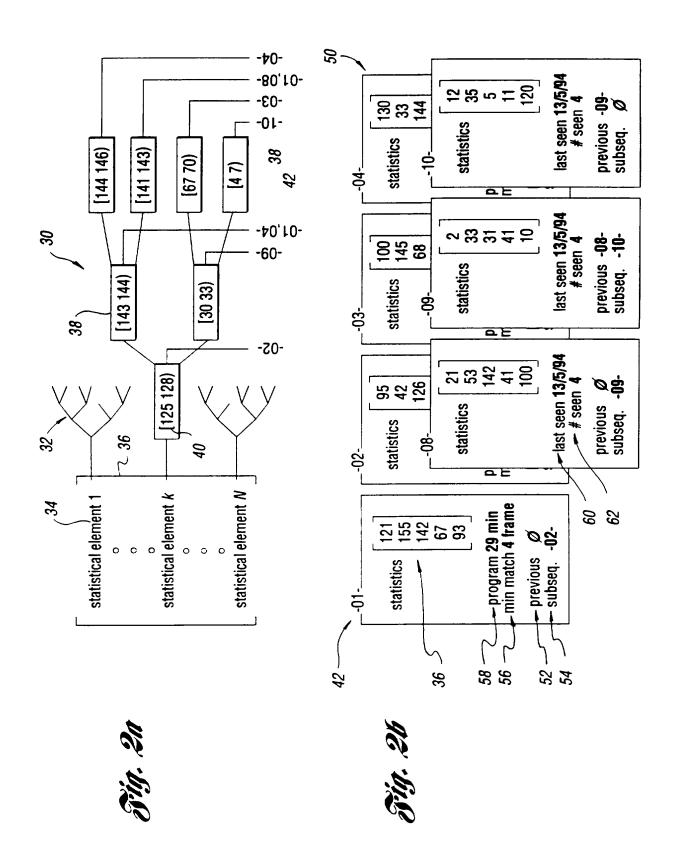
### STATEMENT UNDER ARTICLE 19

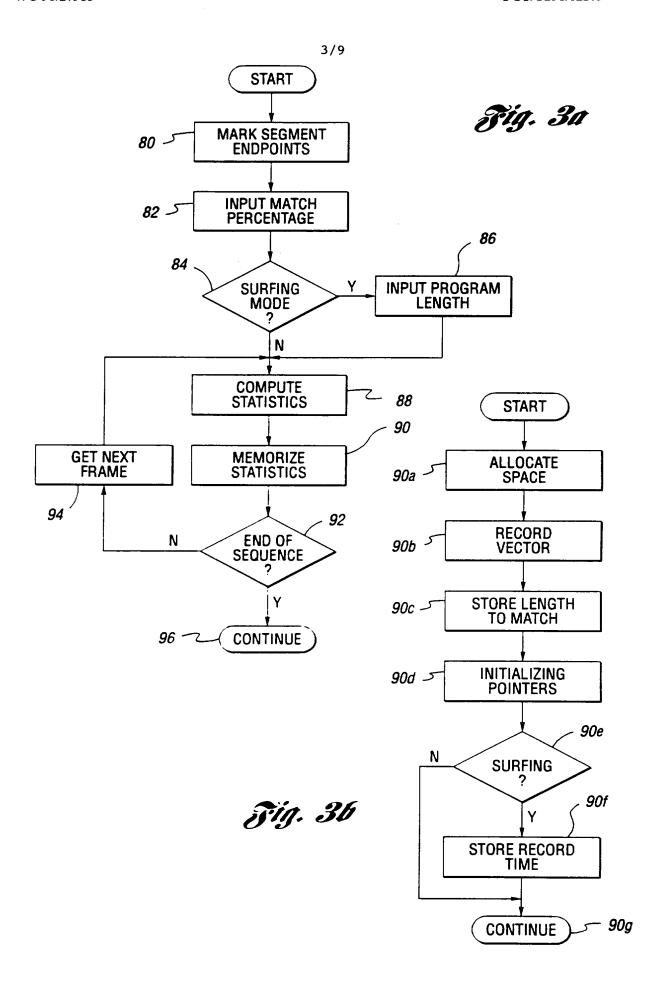
Applicant hereby amends the claims of the International Application to coincide with the claims in the United States patent application. Claims 1-43 are the same as those presently on file before the U.S. Patent Office in the corresponding priority application.

1/9

# Fig. 1







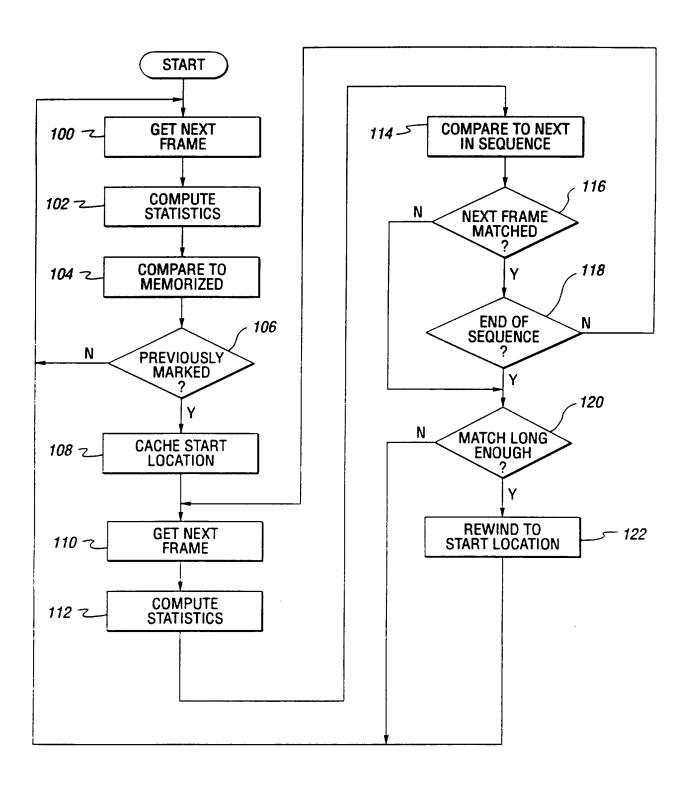
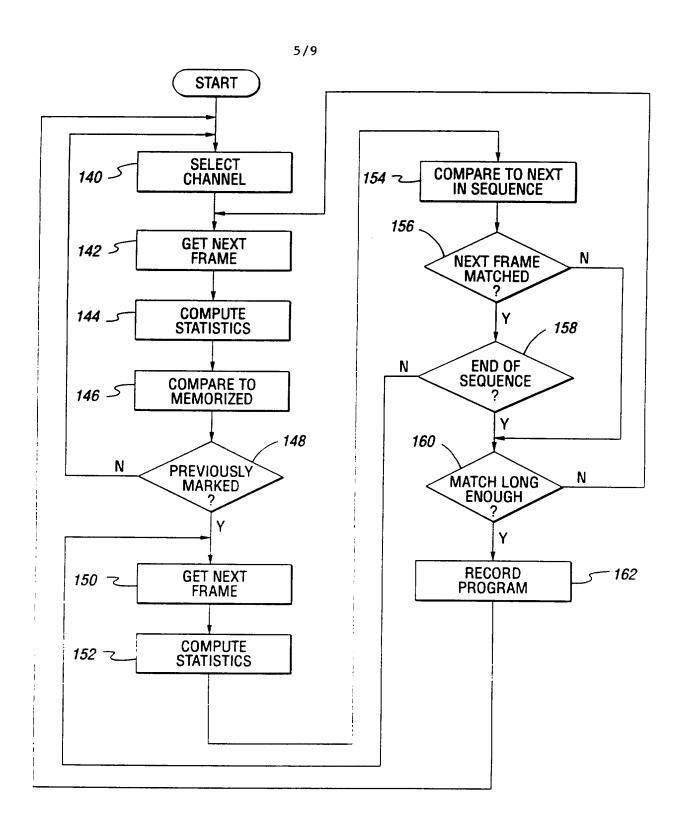
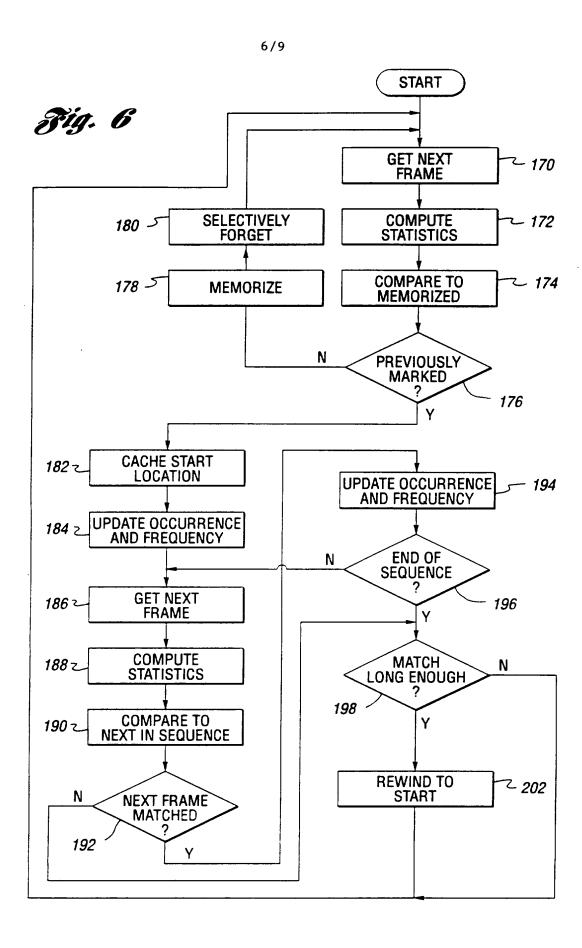
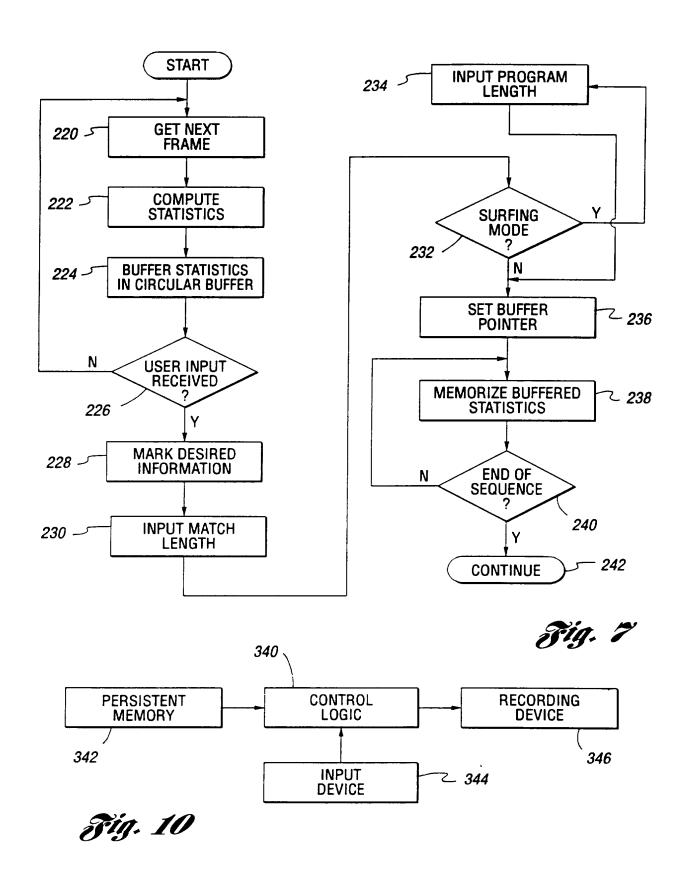


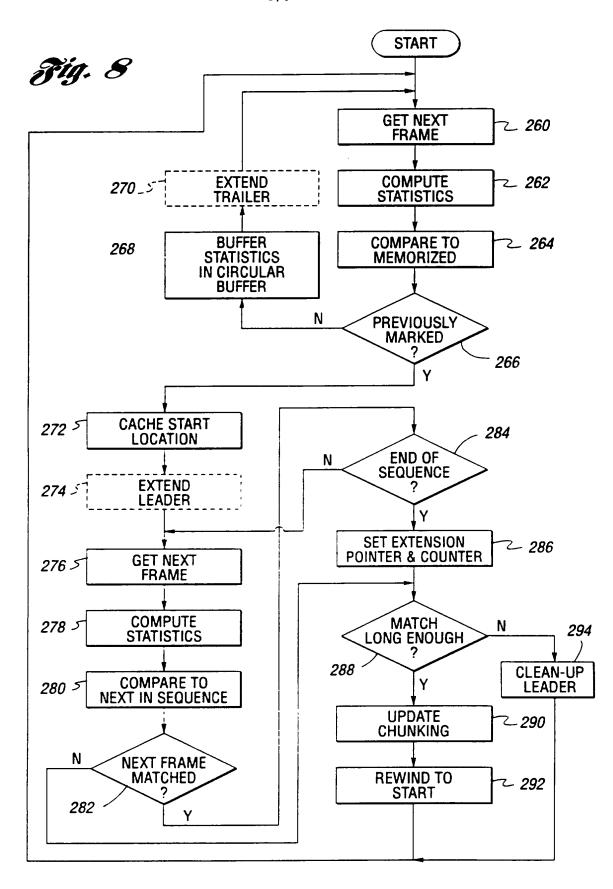
Fig. 4

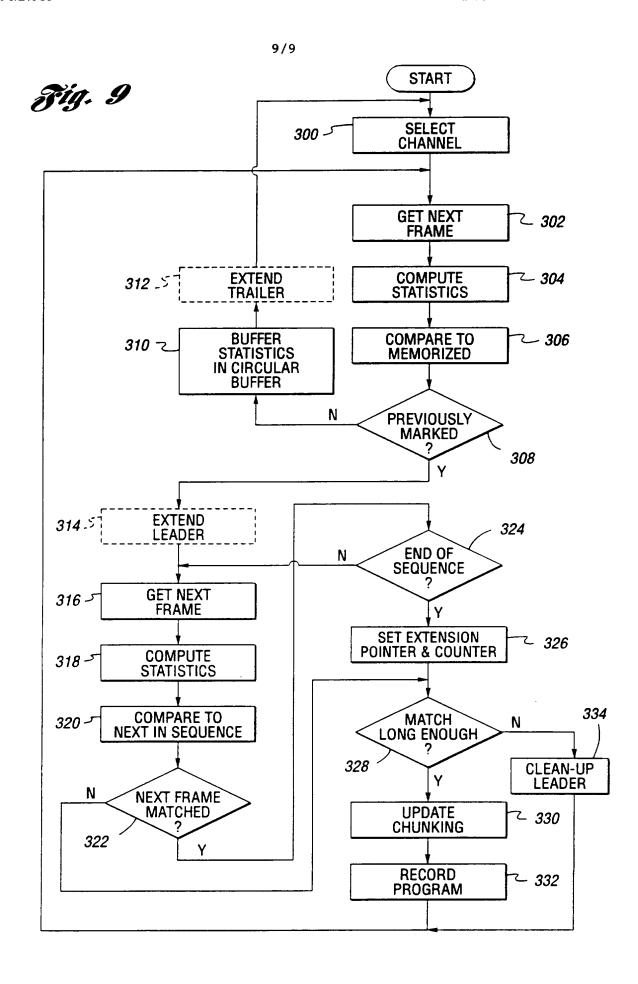
WO 96/27983











## INTERNATIONAL SEARCH REPORT

International application No. PCT/US96/02579

A. CLASSIFICATION OF SUBJECT MATTER				
IPC(6) :H04N 5/76 US CL :358/335				
According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIELDS SEARCHED				
Minimum documentation searched (classification system followed by classification symbols)				
U.S. : 358/335, 310, 313, 908; 348/907, 571; 360/60, 11.1, 14.1 IPC(6): H04N 5/76, 9/79, 5/14, 9/64				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)				
C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.	
X	US, A, 5,253,061 (TAKAHAMA ET AL) 12 OCTOBER 1993, FIGS. 1-4 AND 6-7.		1-2, 4-12, and 14-20	
Y			3 and 13	
A, P	US, A, 5,436,653 (ELLIS ET AL) 25 JULY 1995.		1-20	
A	US, A, 4,739,398 (THOMAS ET AL) 19 APRIL 1988.		1-20	
A	US, A, 5,343,251 (NAFEH) 30 AUGUST 1994.		1-20	
A	JP, A, 1-174192(A) (KATO) 10 JULY 1989.		1-20	
į				
Further documents are listed in the continuation of Box C. See patent family annex.				
Special categories of cited documents:     T				
'A' do	document defining the general state of the art which is not considered to be part of particular relevance  date and not in conflict with the application of the art which is not considered principle or theory underlying the investor of particular relevance		ation but cited to understand the	
*E* car	rlier document published on or after the international filing date	"X" document of particular relevance; the	e claimed invention cannot be red to involve an inventive step	
cit	cument which may throw doubts on priority claim(s) or which is sed to establish the publication date of another citation or other	"Y" document of particular relevance; th	e claimed invention cannot be	
°O° do	special reason (as specified) considered to involve an inventive		step when the document is h documents, such combination	
	document published prior to the international filing date but later than "&" document member of the same patent family the priority date claimed			
Date of the actual completion of the international search  15 APRIL 1996  Date of mailing of the international search  02 MAY 1996			arch r <del>ep</del> ort	
Commission Box PCT Washington	mailing address of the ISA/US oner of Patents and Trademarks n, D.C. 20231	Authorized officer  Tommy Chin  Telephone No. (703) 305-4715	) ✓	
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